

# Min-max approach to Yau's conjecture

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  - The topological condition is very mild: fails for manifolds with the homotopy type of a CROSS (Sullivan–Vigué-Poirrier).
- Rademacher, (1989) *Assume closed  $M^n$  and simply connected. For "almost every" metric  $(M^n, g)$  admits an infinite number of closed geodesics.*

## Yau's conjecture

Just like geodesics are critical points for the length functional, Minimal surfaces are critical points for the volume functional.

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*Every compact 3-dimensional manifold admits an infinite number of immersed minimal surfaces.*

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## Yau's Conjecture '82

*Every compact 3-dimensional manifold admits an infinite number of immersed minimal surfaces.*

- **Simon–Smith, (1982)** *Every  $(S^3, g)$  admits a smooth embedded minimal sphere.*
- **Pitts (1981), Schoen–Simon, (1982)** *Every compact manifold  $(M^{n+1}, g)$  admits an embedded minimal hypersurface smooth outside a set of codimension 7.*
- **Khan–Markovic, (2012)** *Closed hyperbolic 3-manifolds admit an infinite number of minimal immersed surfaces for any metric.*

# Almgren Pitts Min-max Theory

- $(M^{n+1}, g)$  closed compact Riemannian  $n$ -manifold,  $2 \leq n \leq 6$ .
- $\mathcal{Z}_n(M; \mathbb{Z}_2) = \{\text{integral mod 2 currents } T \text{ with } \partial T = 0\}$   
= “{all compact hypersurfaces in  $M$ }”.

Minimal surfaces are critical points for the functional  $\Sigma \mapsto \text{vol}(\Sigma)$ .

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(Almgren, 60's)  $\mathcal{Z}_n(M; \mathbb{Z}_2)$  is weakly homotopic to  $\mathbb{RP}^\infty$ . Thus for all  $k \in \mathbb{N}$  there is a non-trivial map  $\Phi_k : \mathbb{RP}^k \rightarrow \mathcal{Z}_n(M; \mathbb{Z}_2)$ .

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- $[\Phi_k] = \{\text{all } \Psi \text{ homotopic to } \Phi_k\}$ ;
- The  $k$ -width is

$$\omega_k(M) := \inf_{\{\Phi \in [\Phi_k]\}} \sup_{x \in \mathbb{RP}^k} \text{vol}(\Phi(x)).$$

Compare with

$$\lambda_k(M) = \inf_{\{(k+1) \text{ plane } P \subset W^{1,2}\}} \sup_{f \in P - \{0\}} \frac{\int_M |\nabla f|^2}{\int_M f^2}.$$

# Almgren Pitts Min-max theory

**Theorem (Pitts, '81, Schoen–Simon, '82)** *For all  $k \in \mathbb{N}$  there is an embedded minimal hypersurface  $\Sigma_k$  (with multiplicities) so that*

$$\omega_k(M) = \inf_{\{\Phi \in [\Phi_k]\}} \sup_{x \in \mathbb{R}P^k} \text{vol}(\Phi(x)) = \text{vol}(\Sigma_k).$$

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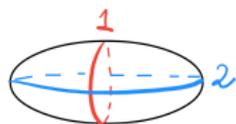
**Key Issue:** It is possible that  $\Sigma_k$  is a multiple of some  $\Sigma_j$ . Are  $\{\Sigma_1, \Sigma_2, \dots\}$  genuinely different?

**Theorem (Marques–N., '13)** *Assume  $(M, g)$  has positive Ricci curvature. Then  $M$  admits an infinite number of distinct embedded minimal hypersurfaces.*

To handle the general case, need more information on the minimal surfaces  $\Sigma_k \dots$

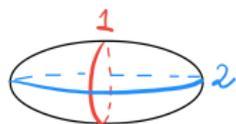
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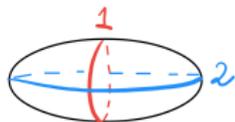


**Theorem (Marques–N., '15)** For every  $k \in \mathbb{N}$ , one can find a minimal embedded hypersurface  $\Sigma_k$  with

- $\omega_k(M) = \text{vol}(\Sigma_k) = \inf_{\{\Phi \in [\Phi_k]\}} \sup_{x \in \mathbb{R}P^k} \text{vol}(\Phi(x));$
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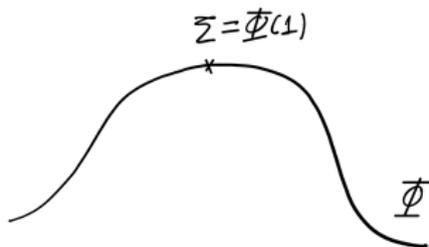
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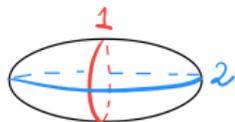
*Sketch of proof when  $k = 1$ :*



- Suppose  $\Phi : [0, 2] \rightarrow \mathcal{Z}_n(M; \mathbb{Z}_2)$  with  $\max_t \text{vol}(\Phi(t)) = \text{vol}(\Phi(1))$  and  $\Sigma = \Phi(1)$  minimal with index 2.

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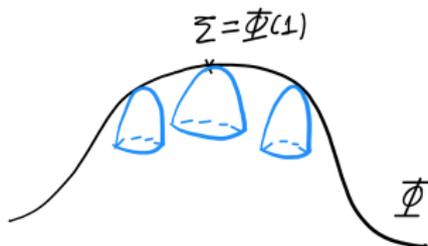
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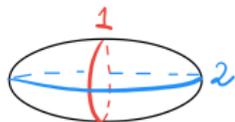
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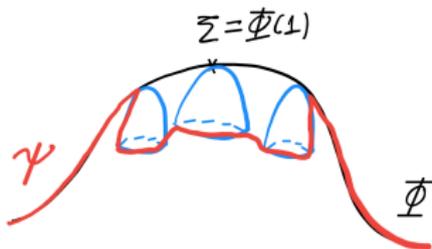
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- Near  $\Sigma$ , there is a disc of deformations whose volume is a parabola.
- Find  $\Psi$  homotopic to  $\Phi$  with  $\max_t \text{vol}(\Psi(t)) < \text{vol}(\Phi(1))$ .

## Index estimates

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**Theorem (Marques–N., '15)** *Assume  $M$  has no embedded one-sided hypersurfaces and that the metric is bumpy. There is a minimal embedded hypersurface  $\Sigma_1$  such that*

- $\omega_1(M) = \text{vol}(\Sigma_1)$ ;
- index of  $\Sigma_1 = 1$ ;
- unstable components of  $\Sigma_1$  have multiplicity one.

**Rmk:**  $\Sigma_1$  can be  $j(\text{index } 0) + (\text{index } 1)$  but neither  $j(\text{index } 1)$  nor  $(\text{index } 0)$ .

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**Basic approach to rule out multiplicity:** Suppose there is  $\Phi : [0, 2] \rightarrow \mathcal{Z}_n(M)$  with  $\max_t \text{vol}(\Phi(t)) = \text{vol}(\Phi(1))$  and for  $|t - 1| < \varepsilon$ ,  $\Phi(t) = 2S_t$  where

- $S_1$  is minimal surface with index one;
- $\text{vol}(S_t) < \text{vol}(S_1)$  if  $t \neq 1$ .

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There is path  $\{L_t\}$  connecting  $2S_{1-\varepsilon}$  to  $S_{1-\varepsilon} + S_{1+\varepsilon}$  and then to  $2S_{1+\varepsilon}$  so that

$$\text{vol}(L_t) < 2\text{vol}(S_1) = \text{vol}(\Phi(1)) \quad \text{for all } |t - 1| \leq \varepsilon.$$

# Multiplicity one Conjecture

**Conjecture (Marques–N, '15)** *For bumpy metrics  $(M^{n+1}, g)$ ,  $2 \leq n \leq 6$ , unstable components in min-max hypersurfaces obtained with multi-parameters have multiplicity one.*

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**Theorem (Marques–N)** *Assuming the multiplicity one Conjecture, for every  $k \in \mathbb{N}$  there is an embedded minimal hypersurface  $\Sigma_k$  such that*

- *index of  $\Sigma_k = k$  and unstable components have multiplicity one;*
- $\omega_k(M) = \text{vol}(\Sigma_k)$ .

**Corollary** *The minimal hypersurfaces  $\{\Sigma_k\}_{k \in \mathbb{N}}$  are all distinct and so a stronger version of Yau's conjecture holds.*

# Non-linear Spectrum

For  $k \in \mathbb{N}$ ,  $\omega_k(M) = \inf_{\{\Phi \in [\Phi_k]\}} \sup_{x \in \mathbb{R}P^k} \text{vol}(\Phi(x))$ .

The sequence  $\{\omega_k(M)\}_{k \in \mathbb{N}}$  is a non-linear spectrum of  $(M, g)$ . Recall

$$\lambda_k(M) = \inf_{\{(k+1) \text{ plane } P \subset W^{1,2}\}} \sup_{f \in P - \{0\}} \frac{\int_M |\nabla f|^2}{\int_M f^2}.$$

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**Theorem (Gromov, 80's, Guth, '07)**  $\omega_k(M)$  grows like  $k^{1/(n+1)}$  as  $k$  tends to infinity.

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**Theorem (Gromov, 80's, Guth, '07)**  $\omega_k(M)$  grows like  $k^{1/(n+1)}$  as  $k$  tends to infinity.

Weyl Law states that

$$\lim_{k \rightarrow \infty} \frac{\lambda_k(M)}{k^{\frac{2}{n+1}}} = \frac{4\pi^2}{(\omega_{n+1} \text{vol } M)^{\frac{2}{n+1}}}.$$

**Conjecture (Gromov):**  $\{\omega_k(M)\}_{k \in \mathbb{N}}$  also satisfies a Weyl Law.

# Non-linear Spectrum

Weyl Law (Liokumovich–Marques–N, '16) *Weyl Law holds meaning that there is  $\alpha(n)$  such that for all compact  $(M^{n+1}, g)$  (with possible  $\partial M \neq 0$ )*

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Can we estimate  $\alpha(n)$ ?

- $P_d = \text{span}\{\text{spherical harmonics on } S^3 \text{ with degree } \leq d\}$  and  $\mathbb{RP}^k = (P_d - \{0\})/\{f \sim cf\}$ , where  $k$  grows like  $d^3$ ,
- $\Phi_k : \mathbb{RP}^k \rightarrow \mathcal{Z}_2(\mathcal{S}_3)$ ,  $\Phi_k([f]) = \partial\{f < 0\}$ . From Crofton formula we know that

$$\sup_{[f] \in \mathbb{RP}^k} \text{vol}(\Phi_k([f])) \leq 4\pi d$$

and we estimate  $\alpha(2) \leq (48/\pi)^{1/3}$ . Is this sharp?

## Weyl Law – Approach when $M^{n+1} \subset \mathbb{R}^{n+1}$

Assume  $\text{vol}(M) = 1$ . With  $C$  the unit cube, find  $\{C_i\}_{i=1}^N$  disjoint cubes in  $M$  so that  $\text{vol}(M \setminus \cup_{i=1}^N C_i)$  is very small.

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Using Lusternick-Schnirelman we show that

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This implies

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Conversely, we can find disjoint regions  $\{M_i\}_{i=1}^N$  in  $C$  so that every  $M_i$  is similar to  $M$  and  $\text{vol}(C \setminus \cup_{i=1}^N M_i)$  is very small and we show

$$\liminf_{k \rightarrow \infty} \frac{\omega_k(C)}{k^{\frac{1}{n+1}}} \geq \liminf_{k \rightarrow \infty} \frac{\omega_k(M)}{k^{\frac{1}{n+1}}}.$$

This shows that the  $\liminf$  of  $\frac{\omega_k(M)}{k^{\frac{1}{n+1}}}$  is universal.

## Conclusion

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- Nurser computed the first 9 widths of  $S^3$  and Aix did it for  $S^2$ ;

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