# From causality to time and back

Ettore Minguzzi

Università Degli Studi Di Firenze

ERE09, Bilbao, September 10, 2009

#### based on

- Time functions as utilities Archive: 0909.0890
- K-causality coincides with stable causality Commun. Math. Phys. 290 (2009) 239-248

Let  $\Delta = \{(p, p) : p \in M\}$ 

### Preorder

 $R \subset M \times M$  is a (reflexive) preorder on M if it is

- reflexive:  $\Delta \subset R$ ,
- transitive:  $(x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$ ,

Let  $\Delta = \{(p, p) : p \in M\}$ 

### Preorder

 $R \subset M \times M$  is a (reflexive) preorder on M if it is

- reflexive:  $\Delta \subset R$ ,
- transitive:  $(x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$ ,

### Partial order

R is a (reflexive) partial order on M if it is a preorder and it is

• antisymmetric:  $(x, y) \in R$  and  $(y, x) \in R \Rightarrow x = y$ 

Let  $\Delta = \{(p, p) : p \in M\}$ 

### Preorder

 $R \subset M \times M$  is a (reflexive) preorder on M if it is

- reflexive:  $\Delta \subset R$ ,
- transitive:  $(x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$ ,

### Partial order

R is a (reflexive) partial order on M if it is a preorder and it is

• antisymmetric:  $(x, y) \in R$  and  $(y, x) \in R \Rightarrow x = y$ 

### Total preorder

A preorder which is

• total:  $(x, y) \in R$  or  $(y, x) \in R$ 

Every two elements are comparable.

Let  $\Delta = \{(p, p) : p \in M\}$ 

### Preorder

 $R \subset M \times M$  is a (reflexive) preorder on M if it is

- reflexive:  $\Delta \subset R$ ,
- transitive:  $(x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$ ,

### Partial order

R is a (reflexive) partial order on M if it is a preorder and it is

 $\blacksquare$  antisymmetric:  $(x,y)\in R$  and  $(y,x)\in R \Rightarrow x=y$ 

### Total preorder

A preorder which is

• total:  $(x, y) \in R$  or  $(y, x) \in R$ 

Every two elements are comparable.

### Total order

A partial order which is total.

• chronologically,  $p \ll q$ , if there is a future directed timelike curve from p to q,

- $\blacksquare$  chronologically,  $p \ll q,$  if there is a future directed timelike curve from p to q,
- causally,  $p \leq q$ , if there is a future directed causal curve from p to q or p = q,

- chronologically,  $p \ll q$ , if there is a future directed timelike curve from p to q,
- causally,  $p \leq q$ , if there is a future directed causal curve from p to q or p = q,
- horismotically,  $p \rightarrow q$ , if there is a maximizing lightlike geodesic segment connecting p to q or p = q.

- chronologically,  $p \ll q$ , if there is a future directed timelike curve from p to q,
- causally,  $p \leq q$ , if there is a future directed causal curve from p to q or p = q,
- horismotically,  $p \rightarrow q$ , if there is a maximizing lightlike geodesic segment connecting p to q or p = q.

They can be regarded as relations on M i.e. as subsets of  $M \times M$ 

$$\begin{split} I^+ &= \{(p,q) \in M \times M : p \ll q\}, & \text{chronology relation} \\ J^+ &= \{(p,q) \in M \times M : p \leq q\}, & \text{causal relation} \\ E^+ &= \{(p,q) \in M \times M : p \rightarrow q\} = J^+ \backslash I^+, & \text{horismos relation} \end{split}$$

 $I^+$  and  $J^+$  are transitive.  $I^+$  is open but  $J^+$  and  $E^+$  are not necessarily closed.

(M,g) is stably causal if there is g' > g with (M,g') causal.

Here g' > g if the light cones of g are everywhere strictly larger than those of g.

(M,g) is stably causal if there is g' > g with (M,g') causal.

Here g' > g if the light cones of g are everywhere strictly larger than those of g. None of  $I^+$ ,  $J^+$  or  $E^+$  are both closed and transitive

# Seifert's relation $J_S^+ = \bigcap_{g'>g} J_g^+$ (1971)

 $J_S^+$  is closed, transitive and contains  $J^+$ .

(M,g) is stably causal if there is g' > g with (M,g') causal.

Here g' > g if the light cones of g are everywhere strictly larger than those of g. None of  $I^+$ ,  $J^+$  or  $E^+$  are both closed and transitive

### Seifert's relation $J_S^+ = \bigcap_{g'>g} J_g^+$ (1971)

 $J_S^+$  is closed, transitive and contains  $J^+$ .

The spacetime is stably causal iff  $J_S^+$  is antisymmetric.

(M,g) is stably causal if there is g' > g with (M,g') causal.

Here g' > g if the light cones of g are everywhere strictly larger than those of g. None of  $I^+$ ,  $J^+$  or  $E^+$  are both closed and transitive

### Seifert's relation $J_S^+ = \bigcap_{g' > g} J_g^+$ (1971)

 $J_S^+$  is closed, transitive and contains  $J^+$ .

The spacetime is stably causal iff  $J_S^+$  is antisymmetric.

### Sorkin and Woolgar's relation $K^+$ (1996)

The smallest closed and transitive relation which contains  $J^+$ . A spacetime is K-causal if  $K^+$  is antisymmetric. It is difficult to work with  $K^+$ .

(M,g) is stably causal if there is g' > g with (M,g') causal.

Here g' > g if the light cones of g are everywhere strictly larger than those of g. None of  $I^+$ ,  $J^+$  or  $E^+$  are both closed and transitive

### Seifert's relation $J_S^+ = \bigcap_{q'>q} J_g^+$ (1971)

 $J_S^+$  is closed, transitive and contains  $J^+$ .

The spacetime is stably causal iff  $J_S^+$  is antisymmetric.

### Sorkin and Woolgar's relation $K^+$ (1996)

The smallest closed and transitive relation which contains  $J^+$ . A spacetime is *K*-causal if  $K^+$  is antisymmetric. It is difficult to work with  $K^+$ .

### By definition $K^+ \subset J_S^+$ ; do they coincide?

No, but

• K-causality is equivalent to stable causality and in this case  $K^+ = J_S^+$ .

# Time and stable causality

### Time functions and temporal functions

Semi-time function: a continuous real function such that  $p \ll q \Rightarrow t(p) < t(q)$ . Time function: a continuous real function such that  $p < q \Rightarrow t(p) < t(q)$ . Temporal function: a  $C^1$  time function with timelike gradient.

#### Time functions and temporal functions

Semi-time function: a *continuous* real function such that  $p \ll q \Rightarrow t(p) < t(q)$ . Time function: a *continuous* real function such that  $p < q \Rightarrow t(p) < t(q)$ . Temporal function: a  $C^1$  time function with timelike gradient.

### Relation with stable causality

Hawking 1968	Temporal function $\Rightarrow$ stable causality
Hawking 1968	Stable causality $\Rightarrow$ time function
Bernal and Sánchez $2004$	Time function $\Rightarrow$ temporal function

So to prove "Time function  $\Rightarrow$  stable causality" you pass thorough a smooth time function.

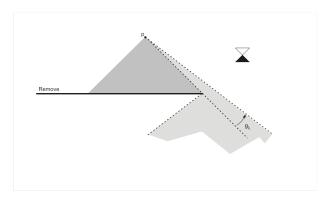
# Hawking's averaging method

Geroch's time  $\mu(I^-(x))$  is only lower semi-continuous.

• Stable causality  $\Rightarrow$  time function.

Let  $g_{\lambda} = (1 - \frac{\lambda}{2}) + \frac{\lambda}{2}\tilde{g}$  with  $\tilde{g} > g$ , define

$$t(x) = \int_0^1 \mu(I^-_{(M,g_\lambda)}(x)) d\lambda$$



### Other route: prove directly

- (i) The existence of a time function implies K-causality (skip smoothability),
- (ii) K-causality implies the existence of a time function (skip Hawking's averaging technique).
- (i): Is possible and somewhat technical.
- (ii): The idea behind (ii) is that the result holds because  $K^+$  is closed.

### Other route: prove directly

- (i) The existence of a time function implies K-causality (skip smoothability),
- (ii) K-causality implies the existence of a time function (skip Hawking's averaging technique).

(i): Is possible and somewhat technical.

(ii): The idea behind (ii) is that the result holds because  $K^+$  is closed.

### Utility theory

An individual has preferences (an apple over an orange) on an abstract space of alternatives A. These preferences give a preorder R. Write  $x \sim_R y$  if  $x \leq_R y$  and  $y \leq_R x$ , and  $x <_R y$  if  $x \leq_R y$  and not  $y \leq_R x$ . Daniel Bernoulli (1728) introduced the concept of *utility*:

 $^{\prime\prime}x \sim_R y \Rightarrow u(x) = u(y)^{\prime\prime} \ \, \text{and} \ \ ^{\prime\prime}x <_R y \Rightarrow u(x) < u(y).^{\prime\prime}$ 

to quantify preference and solve S. Petersburg paradox.

Let A be a topological space. The problem of establishing the existence of a *continuous* utility is formally similar to that of establishing the existence of a time function, but much older. Mathematicians tried every condition on R. Finally they reached (Levin's theorem) the conclusion that if R is closed then u exists!

### Utilities for $I^+$

In a chronological spacetime the utilities of the relation  $I^+$  are the semi-time functions.

### Utilities for $K^+$

In a K-causal spacetime the utilities of the relation  $K^+$  are the time functions.

### Utilities for $I^+$

In a chronological spacetime the utilities of the relation  $I^+$  are the semi-time functions.

### Utilities for $K^+$

In a K-causal spacetime the utilities of the relation  $K^+$  are the time functions.

Given this correspondences Levin's and Peleg's theorems of utility theory lead to the following results

### Theorem

A spacetime is K-causal if and only if it admits a time function. In this case, denoting with  $\mathscr{A}$  the set of time functions we have that the partial order  $K^+$  can be recovered from the time functions, that is

$$(x,y) \in K^+ \Leftrightarrow \forall t \in \mathscr{A}, \ t(x) \le t(y).$$

### Theorem

A chronological spacetime in which  $\overline{J^+}$  is transitive admits a semi-time function.

### From causality to time

Stable causality (antisymmetry of  $J_S^+$ ) implies the existence of time.

This is the analog of Szpilrajn *order extension principle*: every partial order can be extended to a total order. (But here continuity comes into play!)

### From causality to time

Stable causality (antisymmetry of  $J_S^+$ ) implies the existence of time.

This is the analog of Szpilrajn *order extension principle*: every partial order can be extended to a total order. (But here continuity comes into play!)

#### From time to causality

In a stably causal spacetime the time functions on spacetime allow us to recover  $J_S^+$  (whose antisymmetry is equivalent to stable causality).

This is the analog of the result which states that: every partial order is the intersection of the total orders which extend it.

### From causality to time

Stable causality (antisymmetry of  $J_S^+$ ) implies the existence of time.

This is the analog of Szpilrajn *order extension principle*: every partial order can be extended to a total order. (But here continuity comes into play!)

#### From time to causality

In a stably causal spacetime the time functions on spacetime allow us to recover  $J_S^+$  (whose antisymmetry is equivalent to stable causality).

This is the analog of the result which states that: every partial order is the intersection of the total orders which extend it.

Considerations about time suggest to regard  $J_S^+$  (or  $K^+$ ) as more fundamental than  $J^+$ .